

Practitioner's Docket No. 019104 00004

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re application of: Andrew Perry, Randall Mundt

Application No.: 10/603,740

Group No.: 2877

Filed: June 26, 2003

Examiner: Unknown

For: Method and Apparatus for In-Situ Monitoring of Plasma Etch and Deposition Processes Using a Pulsed Broadband Light Source

**Mail Stop Patent Application**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria VA 22313-1450**

**RESPONSE TO "NOTICE TO FILE CORRECTED APPLICATION PAPERS"  
AND REQUEST FOR CORRECTED FILING RECEIPT**

1. A copy of the "NOTICE TO FILE CORRECTED APPLICATION PAPERS" mailed for this application on August 29, 2003 is attached.
2. In response to the requirements of the NOTICE applicant submits herewith:  
  
Abstract
3. A copy of the Filing Receipt, with corrections noted in red.

Corrections: Atty. Docket No.: Please change "00001" to 00004  
In the title: Please change "broadban" to broadband.

A duplicate of this paper is attached.

Date:

September 8, 2003

Martin Korn  
Registration No. 28,317  
Locke Liddell & Sapp LLP  
2200 Ross Ave., Ste. 2200  
Dallas, TX 75201  
214-740 8000



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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/603,740	06/26/2003	Andrew Perry	019194 00001

Martin Korn  
 2200 Ross Ave  
 Suite 2200  
 Dallas, TX 75201

CONFIRMATION NO. 9707

## FORMALITIES LETTER



\*OC000000010791987\*

Date Mailed: 08/29/2003

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

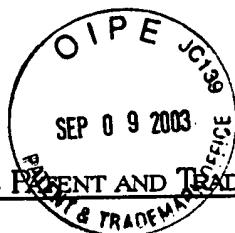
- An abstract of the technical disclosure not exceeding 150 words in length and commencing on a separate sheet in compliance with 37 CFR 1.72(b) is required. An abstract was not provided for this application.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/603,740	06/26/2003	2877	1590	019194 <del>00001</del> 00004	3	20	13

CONFIRMATION NO. 9707

Martin Korn  
 2200 Ross Ave  
 Suite 2200  
 Dallas, TX 75201

## FILING RECEIPT



\*OC000000010791986\*

Date Mailed: 08/29/2003

Receipt is acknowledged of this reissue Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Andrew Perry, Fremont, CA;  
 Randall Mundt, Pleasanton, CA;

## Domestic Priority data as claimed by applicant

This application is a REI of 09/409,842 09/30/1999 PAT 6,160,621

## Foreign Applications

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

## Title

Method and apparatus for in-situ monitoring of plasma etch and deposition processes using a pulsed ~~broadband~~ light source

*broadband*

Preliminary Class